

2022 Annual Program Statement



USAID Resilience through
Agriculture South Sudan
(RASS) Activity

Afex River Camp
Building No. Africa 01Juba,
South Sudan

Tel: +211 923006631, +211 919062099

Email: rass_information@rassactivity.org

Funding Opportunity Title: **Resilience Through Agriculture in South Sudan (RASS)**

Announcement Type:	Annual Program Statement
Funding Opportunity Number:	RASS-APS-GUC-2023-001
Issuance Date:	January 9 th , 2023
Questions for First Round of Evaluations Due By:	January 23 rd , 2023
Deadline for Submission of Concept Papers:	February 8 th , 2023
Final Closing Date:	September 30 th , 2023
Submit Concept Papers to:	rass_procurement@rassactivity.org

To Interested Applicants:

The purpose of this Annual Program Statement (APS) is to solicit concept for funding. DAI, through the United States Agency for International Development (USAID) is seeking concept papers first, and then full applications, from prospective partners to implement RASS activities that create Resilience through Agriculture in South Sudan as a whole. These activities will contribute to RASS objectives, as described in this APS. The geographic focus under consideration is Akobo East, Budi, Kapoeta North, Wau, Jur River, Panyijar, Baliet, Duk, and Pibor counties of South Sudan.

DAI on behalf of USAID anticipates awarding grants to fund successful applications submitted in response to this APS. All concept papers for review in the first round of evaluations are due by the date indicated at the top of this page. It is likely that all the available funds for this APS may be allocated to awards resulting from the second round of evaluations. At the discretion of DAI, applications received after the first round of evaluations may be considered on a rolling basis or as part of another round of evaluations.

The length of the proposed programs should not exceed six months duration. The total amount of funding currently available for this APS is approximately \$150,000.00 for a period of up to six months. DAI may choose to fully fund or incrementally fund the selected application(s). The number of awards and amount of available funding is subject to change and DAI reserves the right to make no awards in response to the APS.

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This APS is seeking applicants to propose creative and effective approaches to implement RASS's objectives as outlined in the Overview section of this APS. Applicants may choose among a range of initiatives or scale up small projects that have demonstrated success. Awardees will be both monitored and evaluated throughout the duration of the implementation of the grant.

Applications outside the geographic focus areas will not be considered. Applicants must demonstrate success in managing cultural and political considerations in the proposed focus areas as well as success in addressing DAI's development objectives.

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SECTION I - FUNDING OPPORTUNITY DESCRIPTION

(1) Description of the Proposed Program:

Background

The Resilience through Agriculture in South Sudan (RASS) Activity is a four-year (2021-2025) USAID-funded activity that is led by prime contractor DAI Global, LLC (DAI). RASS works to improve the effectiveness of local systems and strengthen the capacities of community groups to achieve gender-responsive and diversified market-sensitive production; facilitate the production of diverse, nutritious foods by strengthening productivity, reducing food loss, and improving nutrition behaviors; and expand household and community opportunities for sustainable, locally driven livelihoods. These objectives guide the activities to be proposed by the interested parties.

Overall Program Objectives

These objectives will be achieved through technology transfer, training, awareness campaigns and innovation. Where appropriate, some small-scale community level construction will be implemented to facilitate the attainment of these objectives. It will focus on promoting market driven value chains to enhance incomes of the primary producers including women and men, youth, and people with disabilities.

Specifically, grants funded under this APS will be used for the below activities: (examples are illustrative)

- Engage reliable, mostly Juba-based input suppliers interested in exploring more customer-oriented growth strategies, such as developing a preferred distributor/retailer program to expand the firm's outreach to farmers in underserved counties, payams and bomas.
- RASS will deliver extension services to the producers' groups. Engage local Community-Based Organizations (CBOs) to deliver a Farming as a Family Business (FaaFB) training program to build the capacity and entrepreneurship skills of the producer groups in the nine priority counties (Akobo East, Budi, Kapoeta North, Wau, Jur River, Panyijar, Baliet, Duk and Pibor) of South Sudan.
- Build on the successful implementation of USAID's FinGAP (Ghana) and Kenya Investment Mechanism (Kenya), RASS will explore leveraging with local lenders (e.g., CFC Stanbic, Equity Bank, Eco Bank, etc.) to dramatically expand available tailor-made capital packages to producer and post-harvest processing and marketing groups.

(1) Overview:

The grants will be directly linked to, and complement, project objectives while building the institutional capacity of national Community Based Organizations, Civil Society organizations, Youth Associations, Women Organizations and People with Disability.

(2) Administration of Award

Awards to above mentioned local organizations will be administered in accordance with 2 CFR 200, ADS 303, and USAID Standard Provisions for U.S. nongovernmental organizations. For non-U.S. organizations, USAID Standard Provisions for non-U.S. non-governmental organizations would apply.

Applicants may obtain copies of the referenced material at the following websites:

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Standard Provisions for US Nongovernmental Organizations:

<https://www.usaid.gov/sites/default/files/documents/303maa.pdf>

or

Standard Provisions for Non-US Nongovernmental Organizations:

<https://www.usaid.gov/sites/default/files/documents/303mab.pdf>

SECTION II- AWARD INFORMATION

(1) Estimated Funding Level

The total amount of funding currently available for this APS is approximately \$ 150,000 for a period of up to six months. DAI may choose to fully fund or incrementally fund the chosen Application(s). DAI expects to award up to nine (9) grants under this APS. The number of awards and amount of available funding is subject to change.

(2) Anticipated Start Date of this Award and Performance Period

DAI/RASS anticipates grants resulting from issuance of this APS (if any) will begin in April 2023, with a performance period of up to six months. All grant activities must conclude on or before September 30th, 2023.

(3) Award Type

DAI anticipates awarding grants (hereafter called “Agreements”) to fund successful applications submitted in response to this APS.

SECTION III- ELIGIBILITY INFORMATION

(1) Types of Entities that May Apply

National organizations, CBOs, CSOs, and Youth and Women Associations, and for-profit organizations willing to forego profit may apply.

This APS is issued as a public notice to ensure that all interested and qualified organizations have a fair opportunity to submit applications for funding. Eligible organizations could include, for example, foundations, national non-governmental organizations, faith-based organizations (FBOs), community-based organizations (CBOs), host country organizations, private organizations affiliated with public academic institutions and international non-governmental organizations, private companies, professional associations, and consortiums of the above. DAI encourages an approach that establishes partnerships with several partner organizations and builds them into legacy institutions capable of sustaining development projects in the future.

(2) Potential New Partners:

DAI strongly encourages applications from potential new partners.

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(3) Partner Contributions:

Applicants are encouraged, but not required, to propose contributions (e.g., in-kind or financial support); applicants are encouraged to contribute resources from their own private or other sources for the implementation of this program where feasible.

(4) Gender

Applicants are strongly encouraged to address gender equality issues in their grant application.

SECTION IV- APPLICATION AND SUBMISSION INFORMATION

(1) Application Process

DAI will review proposals using a two-stage process. Applicants must first submit a concept paper of no more than ten pages directly to DAI before submitting a full application. Concept papers must meet the identified needs of this solicitation. If your proposed project meets the needs of this APS, prepare a five-page concept paper ensuring that the concept meets all APS criteria.

- Do not submit a full application unless requested by DAI. Should DAI request a full application for consideration, only at that time should you prepare a full application, using the criteria below.

The concept paper must be submitted in accordance with the instructions in SECTIONS IV (2), (3) and (4) below.

(2) Concept Paper

In the first stage, all applicants are required to submit a short technical concept paper no longer than 5 pages, that is specific, complete, and concise. The concept paper should demonstrate the applicant's capabilities and expertise with respect to achieving the goals of this program. The instructions for developing the concept paper should take into account the instructions listed in the next section.

Concept papers will be reviewed in terms of responsiveness to the APS. Specifically, this includes the following factors:

- Based on RASS partnership design and desire to achieve greatest impact, preferences will be given to national NGOs and registered local groups with demonstrated presence in our counties of operations and with experience in technical objective areas.
- Potential impact on the targeted counties of RASS activities including food security.
- Feasibility and sustainability of the proposed activities.
- Scaling up/replicability.
- Institutional and technical capacity of the partners proposed.
- Degree to which the proposal leverages significant financial or in-kind resources.

Concept Paper Instructions

The concept paper must be submitted in Gill Sans MT and font size 11 and must include page numbers. Each page must be marked with the APS title and number.

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Prepare the concept paper according to the structural format set forth below:

1-Cover Page (no more than one (1) page). The cover page must include:

- a. The APS numbers
- b. Name of the organization
- c. Address of organization
- d. Type of organization (e.g., for-profit, non-profit, association, etc.).
- e. Contact point (lead contact name; relevant telephone and e-mail information).
- f. Names of partner/consortium organizations (if any); and
- g. Signature of authorized representative of the applicant.

2- Technical approach (no more than three (3) pages).

- a. This section should address the program summary and selected objectives in Section I of this document and should include:
 - Concise title and objectives of proposed activity
 - Proposed duration of the activity
 - Discussion of the objectives, the method of approach, the amount of effort to be employed, the anticipated results, and how the work will help accomplish DAI's goal of RASS activities, and
 - Type of support the applicant requests from RASS (e.g., funds, facilities, equipment, materials, personnel resources, etc.).

3- Supporting information (no more than (1) page):

- a. Brief description of applicants, as well as prospective or existing partner(s)' previous work or experience.
- b. Proposed total estimated cost and budget summary in USD (indicating the exchange rate used), for implementation of the proposed activities, with sources of funding (e.g., lead applicant, partners, and RASS Project grant) mentioned. An illustrative budget summary format is included here for consideration:

	Budget item	DAI/RASS contribution	Partners' contribution	Total costs
1	Labour			
2	Equipment			
3	Travel/transportation			
4	General project implementation cost			
5	Activity costs			
	Total			

DAI/RASS will contact selected applicants to request a full proposal based on the information provided in the concept paper.

(3) Full Application

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A. General Requirements

Applicants that have satisfied the requirements will be asked to submit a full technical and cost application. The Full Application must be submitted in Gill Sans MT and font size 11 and must include page numbers. Each page must be marked with the APS title and number.

The applications must be prepared in accordance with the instructions below. Selection for award will be made in accordance with the evaluation criteria in SECTION V – APPLICATION REVIEW INFORMATION (below).

Applicants should retain for their records one copy of all parts of the application and all enclosures that accompany their application. Erasures or other changes must be initialed by the person signing the application.

Note that all applicants may be subject to a pre-award financial and management review and must demonstrate that they have a rigorous financial and monitoring system in place that will ensure auditable systems and records.

B. Format of Application Submission

The chart below lists each element required for submission of a complete application.

What to Submit	Required Content	Required Format
1. Technical Application	Narrative as described in Section IV.C.1	Word
2. Cost Application <ul style="list-style-type: none">• Budget Information• Cost Summary• Cost spreadsheets• Budget narrative• Supporting Documentation	Per required form, as described in Section IV.C.2.i. As described in Section IV.C.2. ii As described in Section IV.C.2.iii As described in Section IV.C.2. iv. As described in Section IV.C.2.v.	Excel Excel Excel Word Word or PDF
3. Certifications, Assurances, Other Statements of the Recipient and Solicitation Standard Provisions	Per required form, as described in Section IV.C.3.i. Per required format, as described in Section IV.C.3. ii.	PDF

C. Content of Application Submission

I. Technical Application

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To facilitate the competitive review of the applications, proposals should include the following contents, which adhere to the designated page limits (instructions for major categories are found under section headings).

1. Cover Page: 1 page
2. Executive Summary: 1 page
3. Technical Narrative (see section V for evaluation content): 22 pages
 - a. Program Description
 - b. Goal and Objectives
 - c. Background/Problem Statement (incorporating any existing baseline data)
 - d. Explanation of partners and their expected roles including partner and other resources brought to bear if applicable
 - e. Proposed Interventions/Technical Approach
 - f. Expected Impact: Applicants are required to reflect the proposed results in their responses.
 - g. Implementation Plan: Implementation Plan (up to three years) outlines timeline for phasing of interventions. Proposed implementation plan, inputs, outputs, and outcomes are realistic and achievable within the proposed budget and timeframe and reflect a grasp of necessary steps to ensure rapid, effective execution of program activities. A plan for monitoring and evaluation must also be included. Include adequate emphasis on scaling-up and achieving broad-based impact where possible and appropriate. Feasible strategies for sustaining activities beyond DAI's funding for this activity, and documenting and sharing key lessons.
 - h. Duration of Activity
 - i. Role of DAI (e.g., facilities, equipment, material, or personnel resources)
 - j. Relevant organizational experiences of recipient and any key partner organizations
 - k. Implementation Schedule: Proposed six months Work Plan presented in matrix format, includes proposed activities for the time frame indicated, and identifies partners for activities where appropriate. Six months work plan, inputs, outputs, and outcomes are realistic and achievable within the proposed budget and timeframe and reflect a grasp of necessary steps to ensure rapid, effective execution of program activities. Include adequate emphasis on scaling-up and achieving broad-based impact where possible and appropriate.
 - l. Adequate information on key personnel, including name, short description of experience and capacity relevant to proposed position (includes proposed job descriptions and complete CVs of all key personnel).
 - m. Attachments: no page limit
 - i. Baseline Data (if it exists)
 - ii. Curriculum Vitae for the proposed Project Officer.
 - iii. Past Performance Information: Applicants must provide a list of all its contracts or grants involving similar or related programs during the past three years. The reference information for these awards must include the performance location, a brief description of the work performed, and a point of contact list with current telephone numbers and/or email address.

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The overall page limit and the individual section page limit for the application must be adhered to. Pages more than the stated limitation may not be considered.

There are no page limits for:

- Table of Contents
- Dividers

2. Cost Application

If a full technical proposal is requested, a cost application will be required as well. The cost application as an attachment should detail all direct costs associated with the implementation and completion of activities, as well as any indirect costs and program costs such as those related to any sub-agreements and/or contracts as detailed below. Applicants may request awards of up to a total of \$150,000 for the project life of up to six months. This amount is subject to revision depending on availability of funds. Further, apparently successful applicants may be asked to scale back portions of their programs to accommodate funding constraints.

The cost application includes specific cost information for this project and additional required information from the applicant. Certain documents are required to be submitted by an applicant in order for DAI Staff to make a determination of responsibility.

The application must also provide evidence that the funds requested are reasonable and would be used in a cost-effective manner. DAI will assess whether the overall costs are realistic for the work to be performed, whether the costs reflect that the Applicant understands the requirements, and whether the costs are consistent with the technical application. The application also will be assessed for cost effectiveness, and applications that minimize administrative costs in order to maximize program, outreach, and capacity building activities will generally be considered a better value.

Cost Summary:

Applicants should include an overall summary budget and a detailed budget defined by general program activities.

Cost Spreadsheets:

Detailed breakdown of the budget in spreadsheet format. The spreadsheet(s) must be submitted electronically in MS Excel format, must include all appropriate formulas, and must not be locked.

These spreadsheet breakdowns must include:

- The breakdown of all costs and supporting justification (justification such as policy, payroll documents, vendor quotes, or specific historical program costs and award number).
- The breakdown of the financial and in-kind contributions of all organizations involved in implementing this Agreement.
- Potential contributions of non-USAID sources.
- The procurement plan for commodities, if any.

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- Breakdown of Management Costs: The proposed budget should provide estimates of the program based upon the total estimated costs for the agreement.
- Applicants should minimize their administrative and support costs for managing the project to maximize the funds available for project activities.

iv. Budget Narrative

The budget must have an accompanying detailed budget narrative and justification that provides in detail the total costs for implementation of the program your organization is proposing. The budget narrative must be written in the third person. The combination of the cost data and breakdowns specified above, and the cost notes must be sufficient to allow a determination of whether the costs estimated are reasonable. If the information described below is provided in the cost matrices described above, then the information need not be included in the Budget Notes. The following is provided as guidance on issues involving specific types of costs:

1. Salary and Wages: Direct salaries and wages should be proposed in accordance with the Applicant's personnel policies.
2. Fringe Benefits: If the Applicant has a fringe benefit rate that has been approved by an agency of the U.S. Government, such rate should be used, and evidence of its approval should be provided. If a fringe benefit rate has not been so approved, the application may propose a rate and explain how the rate was determined. If the latter is used, the narrative should include a detailed breakdown comprised of all items of fringe benefits (e.g., unemployment insurance, workers compensation, health and life insurance, retirement, FICA, etc.) and the costs of each, expressed in dollars and as a percentage of salaries. Alternatively, if the applicant has no approved rate, it may elect to directly charge all project expenses.
3. Travel and Transportation: The application should indicate the number of trips and the estimated costs per trip. Specify the origin and destination for each proposed trip, duration of travel, and number of individuals traveling.
4. Equipment: Specify all equipment to be purchased, including the type of equipment, the manufacturer, the unit cost, the number of units to be purchased and the expected geographic source.
5. Source and Origin Requirements: Goods and services provided by the Recipients under this award must have their source and origin from East Africa. Procurement source and origin requirements under the agreement will be dependent upon the source of funding.
6. Materials and Supplies: Specify all materials and supplies expected to be purchased, including type, unit cost, and number of units.
7. Communications: Specific information regarding the type of communication cost (e.g., mail, telephone, cellular phones, internet, etc.) must be included in order to allow an assessment of the realism and reasonableness of these costs.
8. Training: Provide budgetary information on all training, including the number of people to be trained, the number and cost of each session, and the cost of materials.
9. Subcontracts/Consultants: Information sufficient to determine the reasonableness of the cost of each specific subcontract and consultant expected to be hired must be included. Similar

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information should be provided for all consultants who are provided under the category for personnel.

10. Allowances: Allowances should be broken down by specific type and by person. Any allowances should be in accordance with the Applicant's policies and the applicable regulations and policies.
11. Direct Facilities Costs: Specific information regarding the cost of any facilities needed to perform program activities. The information provided should include the unit cost (rent), the time period the facilities are needed and the number of facilities. Only facilities that directly benefit the program activities should be included in this category; all other facility costs should be included in the indirect cost category.
12. Other Direct Costs: This may include report preparation costs, passports and visas fees, training, medical exams and inoculations, insurance (other than insurance included in the Applicant's fringe benefits), as well as any other miscellaneous costs that directly benefit the program proposed by the Applicant. The narrative should provide a breakdown and support for all other direct costs. If seminars and conferences are included, the Applicant should indicate the subject, venue, and duration of proposed conferences and seminars, and their relationship to the objectives of the program, along with estimates of costs.
13. Indirect Costs: The Applicant should support the proposed indirect cost rate, if any, with a letter from a cognizant U.S. Government audit agency (i.e., its current Negotiated Indirect Cost Rate Agreement) or with sufficient information for DAI to determine the reasonableness of the rates. For the latter, DAI would need:
 - a. copies of the Applicant's financial reports for the previous 3-year period, which have been audited by a certified public accountant or other auditor satisfactory to USAID.
 - b. projected budget, cash flow and organizational chart; and
 - c. a copy of the organization's accounting manual.
14. Partner Contribution: In addition to USAID funds, Applicants are encouraged to contribute resources from their own private or local sources for the implementation of this program where feasible. Contributions can be either cash or in-kind and can include contributions from Applicant, local counterpart organizations, project clients, the relevant Government(s), and other donors (but not other USG funding sources). The budget must provide a breakdown of the financial and in-kind partner contributions, if any, converted to dollar value, of all organizations involved in implementing this grant. This information should be included in the Cost Summary as indicated on those documents. The partner contribution should be discussed in the Budget Notes to the extent necessary to realistically access these sources and funds and the feasibility of the partner contribution plan.
15. Alliance Opportunities: DAI encourages, where appropriate and possible given the subject matter, the creation of alliances. An "alliance," in this sense, is a formal agreement between two or more parties created to jointly define and address a development problem. Alliance partners combine resources, risks and rewards in pursuit of common objectives. Alliance partners make financial and/or in-kind contributions to increase the impact and sustainability of development efforts. Their support may take many forms. Mechanisms for collaboration include parallel financing (with common objectives, clear understanding of roles and separate funding tracks) or pooled resources (with agreed upon and legally binding governance structures and common funding tracks).
16. Program Income (if applicable): The Recipient will account for program income in accordance with 2 CFR 200.307. In accordance with 2 CFR 200.307(e)(2), program income earned under this

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award will be added to funds committed by DAI and the recipient to the project or program and used to further eligible project or program objectives. Additionally, in accordance with 2 CFR 200.307(e)(3), program income may be used to finance the non-Federal share of the project or objectives.

17. Potential for-profit applicants should note that U.S. Government regulations prohibit the payment of fee/profit to recipients under grants. Forgone profit does not qualify as partner contribution or leveraging.

v. Supporting Documentation

1. Teaming: If the applicant is a consortium, the Cost application must include documents reflecting the legal relationship between the parties. The document/s should include a full discussion of the relationship between the applicants including identity of the applicant which USAID will treat for purposes of Agreement administration, identity of the Applicant which will have accounting responsibility, how Agreement effort will be allocated, and the express agreement of the principals thereto to be held jointly and individually liable for the acts or omissions of the other. If no consortium is involved, the Cost Application should include a complete discussion, if applicable, of the relationship between the Applicant and its partner organizations, how work under the program will be allocated, how work will be organized and managed, and provide copies of any agreements between the partner organizations. In all cases of teaming, the cost information provided in part 2.b of this subsection should indicate the amounts committed to each member of the team. The Budget notes should discuss which team member is bearing a particular cost where appropriate to justify and explain the cost in question.
2. Applicants that have never received a grant, cooperative agreement, or contract are required to submit a copy of their accounting manual and personnel and policy manual.
3. Applicants must submit any additional evidence of responsibility for DAI to make a determination of responsibility. The information submitted must substantiate that the Applicant:
 - a. has adequate financial resources or the ability to obtain such resources as required during the performance of the award.
 - b. has the ability to comply with the award conditions, taking into account all existing and currently prospective commitments of the applicant - nongovernmental and governmental.
 - c. has a satisfactory record of performance. Past relevant unsatisfactory performance is ordinarily sufficient to justify a finding of non-responsibility, unless there is clear evidence of subsequent satisfactory performance.
 - d. has a satisfactory record of integrity and business ethics?
 - e. is otherwise qualified and eligible to receive a grant under applicable laws and regulations (e.g., EEO); and
 - f. Presents evidence of a Unique Entity ID (SAM) or a Self-Certification for Exemption from Unique Entity ID (SAM) Requirements.

The Applicant is reminded that U.S. Executive Orders and U.S. law prohibits transactions with, and the provision of resources and support to, individuals and organizations associated with terrorism. It is the legal responsibility of the Applicant/Recipient to ensure compliance with these Executive Orders and laws. This provision must be included in all subcontracts/sub-awards issued under the grant agreement.

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(4) Submission Instructions

All materials must be in English.

Concept Paper: The concept paper must be submitted by email to rass_procurement@rassactivity.org no later than the deadline on the cover page of this APS or subsequently announced deadlines if additional reviews are announced. All correspondence must include the relevant APS number and title.

Full Application: Applications must be submitted via e-mail to rass_procurement@rassactivity.org. All correspondence must include the relevant APS number and title.

A. Branding

All USAID-sponsored assistance awards are required to adhere to branding policies and revised marking requirements for grants and cooperative agreements in accordance with ADS 320. This includes visibly displaying the USAID Standard Graphic Identity that clearly communicates assistance is, “From the American people” on all programs, projects, activities, publications, public communications, and commodities provided or supported through USAID assistance awards. ADS 320 requires that, after the evaluation of the applications, DAI Staff will request the Apparently Successful Applicant to submit a Branding Strategy that describes how the program, project, or activity is named and positioned, how it is promoted and communicated to beneficiaries and cooperating country citizens and identifies all donors and explains how they will be acknowledged. DAI will not competitively evaluate the proposed Branding Strategy. ADS 320 may be found at the following website: <https://www.usaid.gov/sites/default/files/documents/320.pdf>.

B. Environmental Procedures

1. The Foreign Assistance Act of 1961, as amended, Section 117 requires that the impact of USAID’s activities on the environment be considered, and that USAID include environmental sustainability as a central consideration in designing and carrying out its development programs. This mandate is codified in Federal Regulations (22 CFR 216) and in USAID’s Automated Directives System (ADS) Parts 201.5.10g and 204 (<http://www.usaid.gov/policy/ads/200/>), which, in part, require that the potential environmental impacts of USAID-financed activities are identified prior to a final decision to proceed and that appropriate environmental safeguards are adopted for all activities. i.e.: environmental compliance obligations under these regulations and procedures are specified in the following paragraphs of this APS.
2. In addition, the contractor/recipient must comply with host country environmental regulations unless otherwise directed in writing by USAID. In case of conflict between host country and USAID regulations, the latter will govern.
3. No activity funded under this grant will be implemented unless an environmental threshold determination, as defined by 22 CFR 216, has been reached for that activity, as documented in a Request for Categorical Exclusion (RCE), Initial Environmental Examination (IEE), or Environmental Assessment (EA) duly signed by the Bureau Environmental Officer (BEO). (Hereinafter, such documents are described as “approved Regulation 216 environmental documentation.”)

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C. Unique Entity ID (SAM)

There is a mandatory requirement for the applicant to provide a Unique Entity ID (SAM) to DAI. Without a Unique Entity ID (SAM), DAI cannot deem an applicant to be “responsible” to conduct business with and therefore, DAI will not enter into an agreement with any such organization. The award of a grant resulting from this APS is contingent upon the winner providing a Unique Entity ID (SAM) to DAI. Organizations who fail to provide a Unique Entity ID (SAM) will not receive an agreement.

All U.S. and foreign organizations which receive a grant with a value of \$25,000 and above are required to obtain a Unique Entity ID (SAM) prior to signing of the agreement. Organizations are exempt from this requirement if the gross income received from all sources in the previous tax year was under \$300,000. DAI requires that grant applicants sign the self-certification statement if the applicant claims exemption for this reason. Documentation with instructions for obtaining a Unique Entity ID (SAM) or a Self-Certification for Exemption from the Unique Entity ID (SAM) can be obtained from the project issuing this APS.

3. Certifications, Assurances, Other Statements of the Recipient and Solicitation Standard Provisions In accordance with ADS 303.3.8, DAI will require successful grant applicants to submit a signed copy of the following certifications and assurances, as applicable:

1. Assurance of Compliance with Laws and Regulations Governing Non-Discrimination in Federally Assisted Programs (Note: This certification applies to non-U.S. organizations if any part of the program will be undertaken in the United States.)
2. Certification Regarding Lobbying (This certification applies to grants greater than \$100,000)
3. Prohibition on Assistance to Drug Traffickers for Covered Countries and Individuals (ADS 206)
4. Certification Regarding Terrorist Financing, Implementing Executive Order 13224
5. Certification of Recipient

In addition, the following two certifications will be included only as required per ADS 206 for Key Individuals or Covered Participants in covered countries:

Part II – Key Individual Certification Narcotics Offenses and Drug Trafficking

Part III – Participant Certification Narcotics Offenses and Drug Trafficking

SECTION V – APPLICATION REVIEW INFORMATION

I. Eligible Organizations

DAI will consider proposals related to this APS from national organizations, CBOs, CSOs, and Youth and Women Associations. Some organizations are legally restricted from receiving U.S. Government funded assistance. In addition, applicants should:

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- Be legally recognized and registered to operate in South Sudan. Registration documents and statutes must be submitted.
- Not be affiliated with a political party nor engaged in any political activities.
- Not be focused solely on religious activities.

2. Evaluation Criteria for Concept Paper

Concept papers will be reviewed in terms of responsiveness to the APS, appropriateness of subject matter, and creativeness. Applicants are encouraged to demonstrate how their proposed work will contribute to RASS objectives especially within the RASS project activities counties in South Sudan.

3. Evaluation Criteria for Full Application.

The technical applications will be evaluated in accordance with the technical evaluation criteria set forth below. An award will be made to the responsible Applicant whose application offers the best value to the U.S. Government, considering both technical and cost factors. Applicants should note that these criteria: (1) serve as the standard against which all applications will be evaluated, and (2) serve to identify the significant matters that Recipients should address in their applications.

All evaluation factors other than cost, when combined, are significantly more important than cost. The criteria set forth below are of equal importance and the sub-criteria within each criterion are of equal importance.

I- Technical Approach

- Demonstrated understanding of the subject content as indicated in the Background and Overview sections; applicant must also demonstrate how the proposed activities will address the emphasized outcomes using prescribed and illustrative indicators.
- Degree to which program approach and proposed strategies to address RASS objectives are creative, innovative, collaborative, and feasible.
- Clear implementation plan as listed in this APS.
- Collaboration, inclusion, and strengthening of local partners. Applications that include local implementing partners will be considered favorably.

2- Project Management & Institutional Capacity

- Demonstrated capacity to recognize and adjust to shifting political and social environments over the life of a project.
- Demonstrated institutional capacity to manage (technically, administratively and financially) a project relevant to DAI/RASS, especially in addressing sensitive social norms in a culturally appropriate fashion.
- Clear demonstration of how progress and impact will be tracked, measured and reported, with clear and appropriate milestones, expected accomplishments, with measurable output and performance indicators based on global standards, along with a plan to document and share good practices and lessons learned.
- Demonstrated long term experience by key staff in capabilities where appropriate.

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- Established and successful institutional presence in at least one geographic region identified in this APS.

3 -Past Performance

- Demonstrated performance in (a) achieving results (including concrete developmental results of the programs) and (b) implementation of projects/activities similar in scope and breadth, including evidence of adherence to contract schedules and requirements, timely and thorough periodic reporting, forecasting and controlling costs and quality of products delivered and (c) developing sound programs in a collaborative fashion.
- Successful institutional track record in implementing projects/activities of similar scope, complexity and size.
- A history of reasonable and cooperative behavior; open lines of communication; the ability to follow-up on and resolve outstanding issues; and a commitment to customer satisfaction in previous donor-funded activities similar in scope and activity.

SECTION VI – AWARD AND ADMINISTRATION INFORMATION

(1) Post- Selection Information

Following selection of an awardee, DAI will inform the successful applicant concerning the award. A notice of award signed by DAI's Chief of Party is the official authorization document, which DAI will provide either electronically or in hard copy to the successful applicant's main point of contact.

DAI also will notify unsuccessful applicants concerning their status after selection has been made.

(2) General Information on Reporting Requirements

Program implementation reporting will be determined based on the outcome of the collaborative finalization of the planned program and the delineation of roles and responsibilities. An annual performance monitoring and evaluation plan, using established baseline data and specific, measurable targets and indicators will also be agreed upon. Financial reporting will be in accordance with the requirements of the obligating document.

SECTION VII- DAI/RASS PROJECT CONTACTS

The points of contact for this APS and any questions during the APS should be directed to:

rass_procurement@rassactivity.org

Any prospective applicant desiring an explanation or interpretation of this APS must request it in writing by the date listed on the cover letter to allow a reply to reach all prospective applicants before the submission of their applications. Oral explanations or instructions given before award of a grant will not be binding. Any information given to a prospective applicant concerning this APS will be furnished promptly to all other prospective applicants as an amendment of this APS, if that information is necessary in submitting applications or if the lack of it would be prejudicial to any other prospective applicants.

SECTION VIII - OTHER INFORMATION

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Issuance of this APS does not constitute an award or commitment on the part of DAI, nor does it commit DAI to pay for costs incurred in the preparation and submission of an application.

DAI reserves the right to fund any or none of the applications submitted. Further, DAI reserves the right to make no awards as a result of this APS.